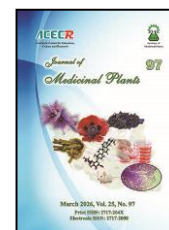




Institute of
Medicinal Plants

Journal of Medicinal Plants

Journal homepage: www.jmp.ir



Research Article

Preparation and evaluation of an oral dosage form effective in improving digestion based on the Traditional Persian Medicine

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ARTICLE INFO

Keywords:

Dyspepsia
Essential Oil
Mastic
Ginger
Cloves
Anise
Fennel

ABSTRACT

Background: The rising prevalence of digestive disorders is a significant issue in modern societies. Among the most important conditions in this category is dyspepsia, which is managed through various medicinal and lifestyle interventions. **Objective:** This study aimed to develop and conduct control tests to determine the active substance content in granules derived from a mixture of medicinal plants in a multi-ingredient formulation. **Methods:** Several multi-ingredient formulations effective for digestion were extracted from key texts of traditional Persian pharmaceuticals, namely Qarabadin textbooks. **Results:** From *Qarabadin-e-Azam*, an oral powder of Cloves (*Safoof-e-qaranfol*) formulation containing mastic, ginger, cloves, anise, and fennel was selected. The yielded essential oil of the mixture was analyzed using a gas chromatography device linked to a mass spectrometer. Granules were prepared using the wet granulation method, and their taste was enhanced by coating them with candy. Ethyl cellulose was sprayed onto the granules to create a coating. The prepared granules exhibited proper flow - properties as determined by the angle of repose test. The eugenol content, the primary compound in the essential oil, was analyzed in the optimized granules, revealing approximately $111.54 \pm 9.63 \mu\text{g}$ of eugenol per gram of granules. **Conclusion:** The adjusted formulation could be marketed as a traditional Persian medicine product for further clinical evaluation.

1. Introduction

Functional dyspepsia (FD), also referred to as non-ulcer dyspepsia, is one of the most frequently recurring disorders characterized by complex symptoms in the gastroduodenal region [1, 2]. Approximately 10-20 percent of the

global population experiences FD at least six times per year [3]. The common symptoms of FD include epigastric pain, pressure, and fullness in the upper abdomen during or after meals, along with nausea and early satiety, or a combination of these symptoms [1, 3-5].

Abbreviations: FD, Functional dyspepsia; TPM, Traditional Persian Medicine; GC/MS, Gas Chromatography/Mass Spectroscopy; GC/FID, Gas chromatography connected to a flame ionization detector

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doi:

Received 13 June 2025; Received in revised form 20 April 2026; Accepted 27 April 2026

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The management of FD depends on the type and duration of symptoms, as well as the patient's quality of life. Treatment options for FD primarily include proton pump inhibitors, prokinetics, antisecretory agents, medications for eradicating *Helicobacter pylori*, and central nervous system modulators [6 - 8]. Individualized diagnostic procedures may also be conducted for patients who do not show improvement with the treatments mentioned above. Additionally, screening for mental health conditions such as anxiety, depression, and stress is advisable for patients who do not respond to treatment [9, 10].

In recent years, there has been a growing interest in the therapeutic potential of herbal medicine as a supplementary treatment for managing FD [11]. Many suggested therapeutic approaches involve combinations of various plants from different traditional medicine practices worldwide. The appeal of this method lies in its potential to simultaneously address multiple pharmacological effects, targeting gastrointestinal motility, secretory functions, and cell protective properties [12-14].

In Traditional Persian Medicine (TPM), a valuable resource of rigorously conducted applied medical practices, digestive disorders, including indigestion (*za'f-ol-hazm*), are addressed [1, 11, 15]. This disorder is defined as a condition where food does not leave the stomach promptly, resulting in symptoms such as stomach fullness, nausea, belching, and bloating [16].

The study aimed to design and formulate a modified oral dosage form based on TPM for treating digestive insufficiency. Considering the numerous benefits associated with TPM, a *Safoof-e-qaranfol* consisting of five ingredients - clove, anise, mastic, fennel, and ginger - was selected, reconfigured into granules, and standardized as an effective dosage form to

address digestive insufficiency, along with symptoms of phlegm, dampness, and coldness in the stomach.

2. Materials and methods

2.1. Materials

The solvents, including methanol and ethanol, and ethyl cellulose, were purchased from Merck (Merck, Darmstadt, Germany). An analytical-grade standard of eugenol was purchased from Sigma-Aldrich (Sigma-Aldrich, Zwijndrecht, Netherlands). Stock solutions were kept at 2 °C. The experiments were approved (IR.SUMS.REC.1401.431) by the Research Ethics Committee, Shiraz University of Medical Sciences.

2.2. Manuscript review and formulation selection

Various traditional pharmaceutical encyclopedias (*Qarābādins*), including *Qarābādin-e-Salehi*, *Qarābādin-e-Ghāderi*, and *Qarābādin-e-Azam*, were examined to choose the best formulation [17 - 19]. Several factors were taken into account during the selection process: the formulation should contain between 3 and 5 readily available ingredients. A formulation with frequent mention in *Qarābādin-e-Azam* was chosen to proceed to the experimental stages [18]. The formulation preparation instructions outlined the following: "Three derams each of cloves and fennel, two derams of anise and mastic each, one deram of ginger, sugar candy in an amount equal to the total of the previous ingredients, and two derams of baked meatballs should be consumed before meals". (Deram is a unit of weight measurement used in TPM references. Each deram is about 3.5 g) [20]. Sugar candy, known as *nabāt* or Persian sugar, is a type of rock candy prepared from sugar syrup. The syrup is boiled and then poured into a container with

threads, around which nabāt crystals form as it cools down.)

2.3. Plant authentication and preparation

All plant ingredients were purchased from a well-known medicinal plant market in Shiraz and verified with a specific voucher number in

the herbarium of the School of Pharmacy at Shiraz University of Medical Sciences (Table 1). The ingredients were dried, individually ground using an electric miller, sieved through a 20 British mesh, and then mixed for the extraction of essential oils.

Table 1. Ingredients of the formulation

| Name | Scientific Name | Voucher Number | Plant Part | Weight Ratio |
|--------|---|----------------|--------------|--------------|
| Clove | <i>Syzygium aromaticum</i> (L.) Merr. & L.M.Perry | PM 1388 | Flower (bud) | 3 |
| Fennel | <i>Foeniculum vulgare</i> Mill. | PM 1389 | Fruit (seed) | 3 |
| Anise | <i>Pimpinella anisum</i> L. | PM 1390 | Fruit (seed) | 2 |
| Mastic | <i>Pistacia lentiscus</i> L. | PM 1140 | Gum | 2 |
| Ginger | <i>Zingiber officinale</i> Roscoe | PM 1387 | Rhizome | 1 |

2.4. Essential oil extraction

Specified amounts of the plant powder mixture, in the mentioned ratios, were soaked in a specific quantity of distilled water. The mixture was then heated in a Clevenger-type apparatus for 3 hours. The yield of essential oil extraction was calculated based on dry weight. The essential oil was dried using anhydrous sodium sulfate and stored at -20 °C for subsequent analysis.

2.5. GC/MS Analysis of Essential Oil

Gas Chromatography/Mass Spectroscopy (GC/MS) analysis was conducted using an Agilent GC-MSD system (model 7890A). A capillary column of DB-1 type (phenyl methyl siloxane, dimensions 30 m × 0.25 mm, with 0.25- μ m film thickness) was utilized with Helium as the carrier gas at a flow rate of 1 mL/min. The GC oven temperature was programmed from 60 to 250 °C at a heating rate of 5 °C/min. The mass spectrometer (Agilent Technologies 5975 C) was operated at 70 eV. The mass range was set from 30 to 600 m/z, and the injection temperature was set at 280 °C. Kovats indices were calculated using the retention times of synchronously injected

normal alkanes (C9-C24), and their mass spectra were compared with the Wiley (nl7) and Adams libraries to identify the components of the essential oil.

2.6. Powder flowability

The flowability of powder was assessed by measuring the angle of repose, which was determined using the Erweka Flow tester (GTB series). The specified quantity of powder was discharged from the funnel, and the angle of repose was computed. Each experiment was conducted thrice. The powders were classified according to the USP flowability table [21].

2.7. Granulation

The herbal components, milled and sieved beforehand in the specified ratio, were blended to create a uniform mixture. Distilled water was utilized as a granulating fluid in the wet granulation process to form the granules, enhancing adhesion and flowability. The resultant particles were then dried in an oven at 40 °C for 48 hours, sifted through two sets of sieves, and the granules measuring 1-2 mm were collected.

2.7.1. Taste enhancement of granules

To administer the granules, different amounts of sugar candy were mixed with plant powders. The weight ratios of the plant powder mixture to the candy were: 1:1, 1:0.7, 1:0.5, 1:0.3, 1:0.1, and 1:0. Prepared granules were tested on 10 volunteers. The taste of the granules was categorized as bitter, suitable, or sweet. The optimal granule formulation was further examined in the remaining study.

2.7.2. Coating of granules

To slow down the dissolution rate of the granules, a water-insoluble polymer (1 % w/v ethyl cellulose) was applied as a coating and taste-masking agent. Ethyl cellulose was dissolved in 96 % ethanol and sprayed onto the granules using coating pans. The prepared granules were dried at 40 °C for 24 hours to eliminate any ethanol residue, and the effectiveness of taste masking was evaluated in volunteers.

2.8. Granule Characterization

2.8.1. Granule Flowability

The flowability of the granules was assessed following the method outlined in section 2.6.

2.8.2. Disintegration of granules

The disintegration time for a specific quantity of coated and uncoated granules was measured using a Disintegration test apparatus. Purified water at 37 ± 1 °C was utilized as the medium [22]. Each test was conducted in triplicate.

2.8.3. Granule content assay (determination of the yielded essential oil)

A Gas chromatography device was used to measure the content of the granules. GC connected to a flame ionization detector (GC/FID) was conducted using an Agilent system (model 7890A) equipped with an HP-5

column (phenyl-methyl siloxane, dimensions 30 m x 0.32 mm, with a 0.25- μ m film thickness). Nitrogen (5 th grade) was utilized as the carrier gas at a flow rate of 1 mL/min. The column temperature was maintained at 250 °C for 10 minutes. The injector and detector temperatures were set at 250 °C and 300 °C, respectively.

In the previous section, eugenol was identified as the main compound in the essential oil. This compound was then utilized to calculate the content. Initially, pure eugenol as a reference compound was diluted in methanol and its calibration was prepared. The calibration was verified, and based on the obtained results; the content of the granules was standardized using eugenol.

To plot the calibration curve, dilutions of eugenol (437, 875, 1750, 3500, 7000, and 14000 μ g/ml) were prepared in methanol, and approximately 1 μ g of each sample was injected into the GC/FID three times per day for three consecutive days to evaluate inter-day variation and intraday consistency. The limit of detection (LOD) and limit of quantification (LOQ) were determined.

Furthermore, the essential oil extracted from optimized granules was prepared and injected into the GC/FID three times to assess the eugenol content in the granules. The method for extracting essential oils from the granules was similar to that described for the powders in Section 2.4.

2.9. Analysis of data

Statistical analyses were performed using Microsoft Excel 2010 (Microsoft Corporation, Redmond, WA, USA).

3. Results

3.1. Traditional literature review

A total of over 30 remedies were extracted from the traditional encyclopedias mentioned. Ultimately, a five-ingredient formulation in

Qarābādin-e-Azam was chosen for further procedures, comprising clove, fennel, anise, mastic, and ginger.

3.2. GC/MS of mixed powders

Table 2 displays the GC/MS analysis of compounds in a plant mixture sample containing clove, fennel, anise, mastic, and ginger.

The extraction of essential oil from the powder was conducted through the distillation method using water and the Clevenger apparatus for 3 hours. The process yielded approximately 4.6 % v/w, calculated as follows:

$$\text{yield of extraction: } \frac{\text{Amount of essential oil obtained (ml)}}{\text{Raw material powder mixture (g)}} * 100$$

3.3. Powder flowability

Flow rate is one of the most crucial characteristics of powders, assessed by the angle of repose factor. According to the pharmacopeia, a lower angle of repose indicates a better flow rate for the powder [21]. An angle of repose between 25 and 35 degrees demonstrates excellent to good flow properties.

When these values exceed 45 degrees, the flow rate is considered weak or very weak [21]. The angle of repose serves as an indicator of powder flow characteristics, as detailed in Table 3.

According to Table 3, since most components do not have proper flowability, it was necessary to alter the formulation to create granules.

3.4. Granulation, taste enhancement, and coating

Granules were prepared using the wet granulation method, and granules of appropriate size were chosen. Granules ranging between 1 and 2 mm were deemed suitable particle sizes. This size is commonly found in edible granules available in the market. Granules without candy content were not palatable, necessitating the inclusion of a flavoring agent. Based on taste evaluation (Table 4), 0.7 grams of sugar candy was determined to produce granules with an acceptable taste. Consequently, the ingredient ratios for the final granule were set as follows: cloves: fennel: anise: mastic: ginger: candy; 3:3:2:2:1:8.

Table 2. Volatile content analysis in the plant mixture

| Peak | Name | RT | Area % | KI _C | KI _R | Ref |
|--------------------|-----------------|-------|--------|-----------------|-----------------|----------|
| 1 | D-Limonene | 8.76 | 0.34 | 1015.97 | 1014 | [23, 24] |
| 2 | Fenchone | 10.31 | 0.48 | 1062.32 | 1060 | [23, 25] |
| 3 | Anethole | 17.74 | 15.15 | 1258.18 | 1257 | [26, 27] |
| 4 | Eugenol | 20.70 | 76.65 | 1331.91 | 1332 | [28, 29] |
| 5 | Caryophyllene | 23.84 | 5.16 | 1410.80 | 1408 | [28, 29] |
| 6 | Humulene | 25.07 | 0.38 | 1442.55 | 1440 | [23, 24] |
| 7 | Zingiberene | 25.97 | 0.21 | 1465.82 | 1469 | [30, 31] |
| 8 | Eugenyl acetate | 26.61 | 1.33 | 1482.12 | 1485 | [28, 29] |
| 9 | Curcumene | 27.62 | 0.13 | 1508.10 | 1503 | [28, 29] |
| Identification (%) | | | | 99.83 | | |

Table 3. Flowability of the components in the formulation

| Powder | Cloves | Fennel | Mastic | Anise | Ginger |
|--------------------------|-----------|--------|-----------|-------|-----------|
| Angle of repose (degree) | 60 | 47 | 27 | 49 | 56 |
| Flow type | Very weak | Weak | Excellent | Weak | Very weak |

Table 4. Taste test of granules after flavoring with sugar candy

| Mixed herbs: sugar candy (weight ratio) | Bitter | Appropriate | Sweet |
|---|--------|-------------|-------|
| 1:0 | 10 | 0 | 0 |
| 1:0.1 | 9 | 1 | 0 |
| 1:0.3 | 8 | 2 | 0 |
| 1:0.5 | 3 | 7 | 0 |
| 1:0.7 | 1 | 8 | 1 |
| 1:1 | 0 | 1 | 9 |

3.4.1. Flowability of granules

The angle of repose of the granules was approximately $26^{\circ} \pm 2^{\circ}$, indicating excellent particle flow.

3.5. Disintegration time of granules

The disintegration time for uncoated granules was 5.3 ± 1.7 minutes. However, this time increased to about 9.8 ± 2.8 minutes for coated granules, highlighting the impact of ethyl cellulose as a coating agent on reducing disintegration time.

3.6. Granule content

According to the analysis of GC/MS data, the main volatile compound in the essential oil of the final formulation is eugenol. In the following, the two spectra were matched after injecting the essential oil obtained from the formulation and the standard with the same temperature program (Fig. 1), confirming the presence of eugenol in the final formulation.

To determine the eugenol content, serial concentrations of the standard eugenol sample were prepared, and the unknown amount in the sample was calculated. In this method, serial concentrations of eugenol (437, 875, 1750, 3500, 7000, and 14000 $\mu\text{g/ml}$) were prepared from the initial stock. After injection (three times for each concentration), a standard curve was prepared, and the best calculations were

considered (Fig. 2). The equation of the calibration curve of the mentioned concentrations is equal to $y = 0.33x - 34.3$, where y is the area of the peaks and x is the amount of concentrations.

Relative standard deviation (RSD) % was also utilized to validate the plotted calibration, and the small RSD amounts suggest the suitability of the obtained data and their higher precision (Table 5). Additionally, the LOD and LOQ data were found to be appropriate, indicating the validity of the calibration data in the study (Table 6).

With the standard curve drawn from the equation, the amount of eugenol in the essential oil sample and subsequently in the modified granule formulation can be determined. The unknown concentration in 1 ml of injected essential oil (calculated from the volume of one μl of actual injection) was equivalent to $3913.53 \pm 337.77 \mu\text{g/ml}$. The eugenol used has a purity of 95 %, so the actual amount of this marker in the essential oil obtained from the modified granule is $3717.85 \pm 320.88 \mu\text{g/ml}$. The extraction yield of the essential oil obtained from the product was approximately one-third of the total yield, or 3 %, which means that the amount of essential oil in a 5 g sachet is 0.15 ml. According to the eugenol amount in 1 ml of essential oil, the amount in the corresponding sachet is $557.68 \pm 48.13 \mu\text{g}$.

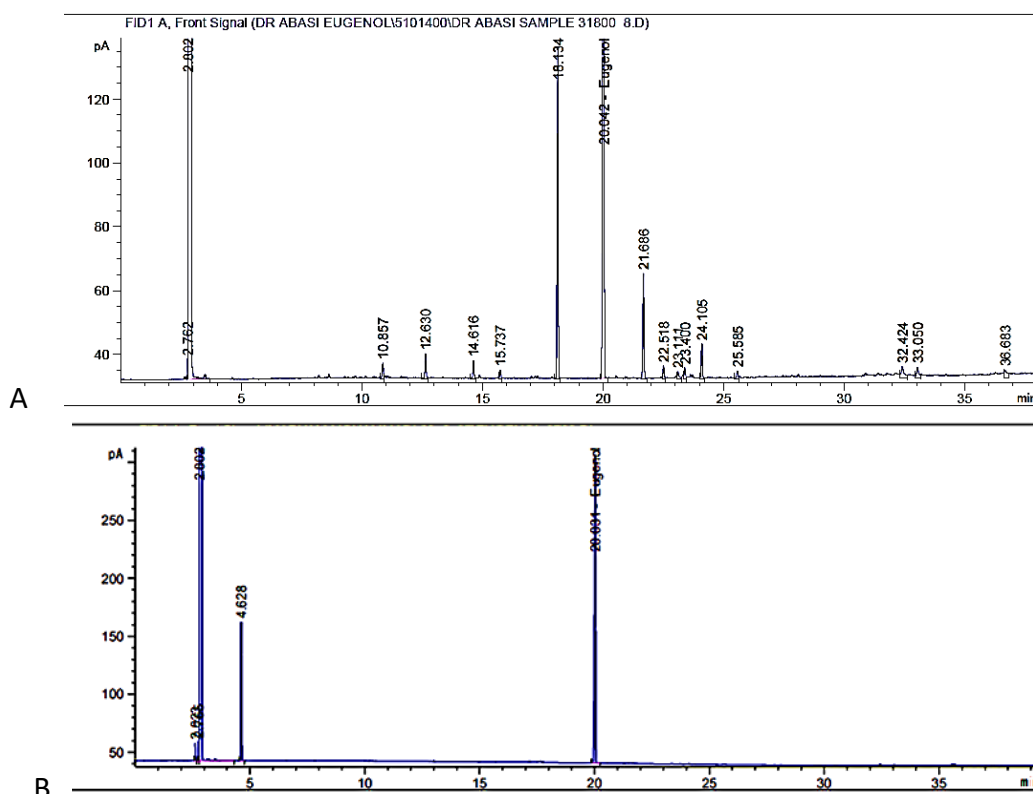


Fig. 1. GC/FID chromatogram of product A: essential oil sample and B: eugenol standard

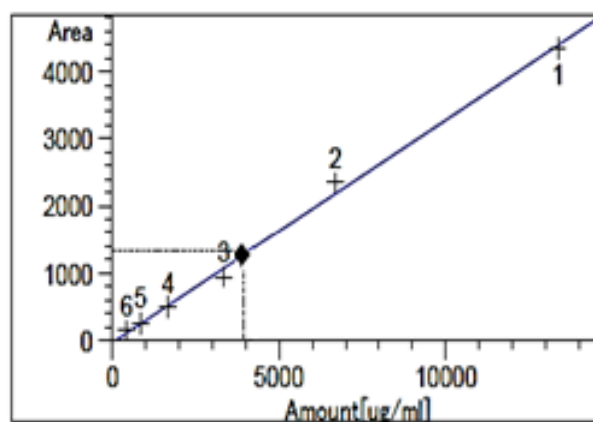


Fig. 2. Eugenol standard curve, and the position of the unknown sample (eugenol present in the produced essential oil) on the eugenol calibration curve

Table 5. Calculation of intra-day and inter-day difference of eugenol (concentration 875 µg/ml)

| Days | Conc. (875 µg/ml) | | | Mean ± SD (intra-day) | RSD% (intra-day) | RSD% (inter-day) |
|------|-------------------|----------------|----------------|--------------------------|---------------------|---------------------|
| | C ₁ | C ₂ | C ₃ | | | |
| 1 | 840.52 | 837.27 | 827.17 | 843.96 ± 6.96 | 0.82 | |
| 2 | 821.75 | 839.10 | 833.81 | 831.55 ± 8.89 | 1.10 | 1.24 |
| 3 | 819.45 | 810.78 | 822.11 | 817.45 ± 5.92 | 0.72 | |

Table 6. Calculation of the amount of eugenol in the pure essential oil sample from the final formulation with an input concentration of 31800 µg/ml

| Sample | Area (%) | Product (µg/ml) | Mean ± SD | RSD (%) | LOD (µg/ml) | LOQ (µg/ml) |
|--------|----------|-----------------|------------------|---------|-------------|-------------|
| 1 | 1314.70 | 4059.75 | 3913.53 ± 337.77 | 8.6 | 60 | 180 |
| 2 | 1345.87 | 4153.57 | | | | |
| 3 | 1137.77 | 3527.28 | | | | |

4. Discussion

Modifying existing formulations from traditional sources and developing more convenient pharmaceutical dosage forms is an extensively studied field. Numerous formulations and preparation methods are outlined in *Qarābādīn* textbooks as part of a general pharmacopeia that serves as the foundation for many pharmaceutical products [17, 18, 32]. Over 33 oral indigestion formulas have been derived from these references. According to TPM, stomach weakness is identified as one of the causes of digestive issues. Stomach weakness refers to the stomach's inability to digest food properly, often characterized by a cold dystemperament. Given these factors, it is recommended that most formulations include herbs or ingredients with contrasting temperaments. The majority of these formulations consist of ingredients that have hot and dry temperaments. A notable formulation in *Qarābādīn-e-Azam* is an oral powder of Cloves (*Safoof-e-qaranfol*), which includes clove, fennel, anise, mastic, and ginger, all possessing hot and dry traits. The individual effects of each component on digestive disorders have been validated through various studies. Clove is a valuable spice worldwide. Its essential oil is used as a pain reliever for dental emergencies. It also acts as an antioxidant, antiperspirant, carminative, digestive, stimulant, antibacterial, and antiparasitic agent [13, 33, 34]. Fennel, recognized as a culinary herb and a valuable warming carminative, has been used for treating dyspepsia, bloating, flatulence, and poor

appetite since ancient times [35]. Anise exhibits various therapeutic properties, such as preventing stomach mucosal damage, reducing constipation, and alleviating nausea [13, 36-38]. Several clinical studies have confirmed the efficacy of mastic resin in treating functional dyspepsia and eradicating *Helicobacter pylori* in dyspeptic patients [39 - 41]. Ginger, an ancient cultivated spice in various cultures, plays a significant role in treating dyspepsia, flatulence, and vomiting by stimulating saliva, bile, and gastric secretions [13, 42, 43]. The formulation mentioned was in the form of the *Safoof*, a powdered dosage form that comes with its own set of disadvantages [44, 45]. Powders are affected by particle density and size, influencing settling, flow, and fluffiness. Additionally, issues such as inaccuracies in administration, humidity absorption, and changes in flow rate are other drawbacks associated with powders. Conversely, granules are more compact, easier to store, carry, and dispense, and can also help mask unpleasant odors and tastes [45]. In this study, the powder was transformed into granules, the taste was modified, and the prescription characteristics were controlled and standardized. Initially, the flowability of each powder was measured, revealing predominantly weak flow. As a result, a change in formulation was deemed necessary [45]. Granulation was carried out using the wet granulation method, with water serving as the granulating fluid. Mastic was used as a binder, facilitating the granulation process of the powders. The prepared granules had a bitter taste and

exhibited low compliance. Non-compliance can lead to inadequate efficacy or hinder disease mitigation efforts. Candy was added to the granule formulation, serving two purposes. It was used as sweetener and flavor enhancer, as well as a binder in the granules. The original recipe included an equal amount of candy as the plant powders. In this study, lower amounts were also tested to evaluate their impact on taste. According to the volunteers' feedback, including sugar candy in an equal ratio to the powder mixture or at 0.7 times the amount of herbal powder resulted in a favorable flavor. Although this study aimed to lower the amount of sweetener in the final product, it is still advisable for diabetics to consume this product cautiously due to its high candy content.

Another method used to mask the taste of the granules and reduce their disintegration rate was the application of ethylcellulose on the prepared granules. Ethylcellulose is a water-insoluble polymer commonly used in film coating. It allows for precise control of drug release and enhances the stability of the granules [46]. The addition of ethylcellulose increased the disintegration time by approximately 1.9 times. The flow of the granules was rated at about 26, indicating excellent flowability [21]. Flow rate measurements can be utilized to determine the filling capacity of capsules or sachets by granules, as well as for bulk storage purposes [45]. The analysis of the volatile compounds in the powder mixture indicated that eugenol, anethole, and caryophyllene were the main components of the essential oil. Eugenol, caryophyllene, eugenyl acetate, and curcumenone are the primary components found in clove [33, 47]. Anethole, estragole, limonene, fenchone, and humulene are the main volatile compounds in fennel [36]. In anise, trans-anethole and estragole are dominant [37, 48], while cineole,

zingiberene, β -bisabolene, and β -sesquiphellandrene are the main components in ginger [31, 49]. Eugenol was identified as the primary marker, with a percentage exceeding 70%, and was assessed using the GC/MS technique. According to the calculations, each deram of granules contained approximately 401.53 μ g of eugenol.

5. Conclusion

Several manuscripts by medieval Persian physicians regarding herbal therapy for FD in Iran have been preserved. One such preparation is Safoof-e-qaranfol, which is currently being transformed into granules for a more convenient oral dosage form. The essential oil from the granules was subjected to GC/MS analysis, revealing that eugenol is the main component. Pharmaceutical control and standardization of the obtained essential oils were conducted. The results confirmed that the prepared granules possess acceptable properties. Nevertheless, further additional tests, such as product stability testing, are necessary to confirm the stability of the volatile content in the granules. This will enable the product to be utilized in further studies, including clinical trials.

Author contributions

MM.Z. and E.P. designed the study. All authors performed the experiment and wrote the article. MM.Z. and E.P. contributed to the research design and implementation.

Conflict of interest

The authors declare that there is no conflict of interest.

Acknowledgment

The authors of this article appreciate and thank Shiraz University of Medical Sciences (Project No: 26268).

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How to cite this article: Zarshenas MM, Abbasi A, Parhizkar E. Preparation and evaluation of an oral dosage form effective in improving digestion based on the Traditional Persian Medicine. *Journal of Medicinal Plants* 2026; 25(97): 19-31. doi: